



TR-1: Standard form for notification of major holdings

June 4, 2020

: Standard form for notification of major holdings

NOTIFICATION OF MAJOR HOLDINGS (to be sent to the relevant issuer and to the FCA in Microsoft Word format if possible)ⁱ

**Identity of the issuer or the underlying issuer
existing shares to which voting rights are
heldⁱⁱ:**

Carnival PLC

Please indicate if the issuer is a non-UK issuer (please mark with an "X" if appropriate)

non-UK issuer

Reason for the notification (please mark the appropriate box or boxes with an "X")

acquisition or disposal of voting rights

X

acquisition or disposal of financial instruments

event changing the breakdown of voting rights

other (please specify)ⁱⁱⁱ:

Details of person subject to the notification obligation^{iv}

Name

BlackRock, Inc.

Address and country of registered office (if applicable)

Wilmington, DE, USA

Full name of shareholder(s) (if different from 3.)^v

Name

Address and country of registered office (if applicable)

**Date on which the threshold was crossed or
held^{vi}:**

02/06/2020

ate on which issuer notified (DD/MM/YYYY):

03/06/2020

otal positions of person(s) subject to the notification obligation

	% of voting rights attached to shares (total of 8. A)	% of voting rights through financial instruments (total of 8.B 1 + 8.B 2)	Total of both in % (8.A + 8.B)	Total number of voting rights of issuer ^{vii}
ulting situation re date on which should was sed or reached	Below 5%	Below 5%	Below 5%	156,870,303
tion of previous ication (if icable)	4.34%	1.00%	5.34%	

8. Notified details of the resulting situation on the date on which the threshold was crossed or reached^{viii}

A: Voting rights attached to shares

Class/type of shares ISIN code (if possible)	Number of voting rights ^{ix}		% of voting rights	
	Direct (Art 9 of Directive 2004/109/EC) (DTR5.1)	Indirect (Art 10 of Directive 2004/109/EC) (DTR5.2.1)	Direct (Art 9 of Directive 2004/109/EC) (DTR5.1)	Indirect (Art 10 of Directive 2004/109/EC) (DTR5.2.1)
GB0031215220		Below 5%		Below 5%
SUBTOTAL 8. A	Below 5%		Below 5%	

B 1: Financial Instruments according to Art. 13(1)(a) of Directive 2004/109/EC (DTR5.3.1.1 (a))

Type of financial instrument	Expiration date ^x	Exercise/ Conversion Period ^{xi}	Number of voting rights that may be acquired if the instrument is	% of voting rights
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			exercised/converted.	
Securities Lending			Below 5%	Below 5%
		SUBTOTAL 8. B 1	Below 5%	Below 5%

B 2: Financial Instruments with similar economic effect according to Art. 13(1)(b) of Directive 2004/109/EC (DTR5.3.1.1 (b))

Type of financial instrument	Expiration date ^x	Exercise/ Conversion Period ^{xi}	Physical or cash settlement ^{xii}	Number of voting rights	% of voting rights
CFD			Cash	Below 5%	Below 5%
			SUBTOTAL 8.B.2	Below 5%	Below 5%

Information in relation to the person subject to the notification obligation (please mark the applicable box with an "X")

Person subject to the notification obligation is not controlled by any natural person or legal entity and does not control any other undertaking(s) holding directly or indirectly an interest in the (underlying) issuer^{xiii}

Chain of controlled undertakings through which the voting rights and/or the financial instruments are effectively held starting with the ultimate controlling natural person or legal entity^{xiv} (please add additional rows as necessary)

X

Name ^{xv}	% of voting rights if it equals or is higher than the notifiable threshold	% of voting rights through financial instruments if it equals or is higher than the notifiable threshold	Total of both if it equals or is higher than the notifiable threshold
Attachment			

In case of proxy voting, please identify:

name of the proxy holder	
number and % of voting rights held	
date until which the voting rights will be held	

Additional information^{xvi}

Rock Regulatory Threshold Reporting Team

Res Michael

7743 3650

place of completion	12 Throgmorton Avenue, London, EC2N 2DL, U.K.
date of completion	3 June, 2020

Section 9 Attachment

Name^{xv}	% of voting rights if it equals or is higher than the notifiable threshold	% of voting rights through financial instruments if it equals or is higher than the notifiable threshold	Total of both if it equals or is higher than the notifiable threshold
Rock, Inc.			
Rock Holdco 2, Inc.			
Rock Financial Management, Inc.			
Rock International Holdings, Inc.			
Jersey International Holdings L.P.			
Rock Holdco 3, LLC			

kRock Cayman 1 LP			
kRock Cayman West Bay Finco ted			
kRock Cayman West Bay IV Limited			
kRock Group Limited			
kRock Finance Europe Limited			
kRock Investment Management) Limited			
kRock, Inc.			
kRock Holdco 2, Inc.			
kRock Financial Management, Inc.			
kRock International Holdings, Inc.			
Jersey International Holdings L.P.			
kRock Holdco 3, LLC			
kRock Cayman 1 LP			
kRock Cayman West Bay Finco ted			
kRock Cayman West Bay IV Limited			
kRock Group Limited			
kRock International Limited			
kRock, Inc.			
kRock Holdco 2, Inc.			
kRock Financial Management, Inc.			

kRock Holdco 4, LLC			
kRock Holdco 6, LLC			
kRock Delaware Holdings Inc.			
kRock Institutional Trust Company, onal Association			
kRock, Inc.			
kRock Holdco 2, Inc.			
kRock Financial Management, Inc.			
kRock Capital Holdings, Inc.			
kRock Advisors, LLC			
kRock, Inc.			
kRock Holdco 2, Inc.			
kRock Financial Management, Inc.			
kRock International Holdings, Inc.			
Jersey International Holdings L.P.			
kRock Holdco 3, LLC			
kRock Cayman 1 LP			
kRock Cayman West Bay Finco ted			
kRock Cayman West Bay IV Limited			
kRock Group Limited			
kRock Finance Europe Limited			
kRock Advisors (UK) Limited			

kRock, Inc.			
kRock Holdco 2, Inc.			
kRock Financial Management, Inc.			
kRock International Holdings, Inc.			
Jersey International Holdings L.P.			
kRock Holdco 3, LLC			
kRock Canada Holdings LP			
kRock Canada Holdings ULC			
kRock Asset Management Canada ted			
kRock, Inc.			
kRock Holdco 2, Inc.			
kRock Financial Management, Inc.			
kRock, Inc.			
kRock Holdco 2, Inc.			
kRock Financial Management, Inc.			
kRock Holdco 4, LLC			
kRock Holdco 6, LLC			
kRock Delaware Holdings Inc.			
kRock Fund Advisors			
kRock, Inc.			

kRock Holdco 2, Inc.			
kRock Financial Management, Inc.			
kRock International Holdings, Inc.			
Jersey International Holdings L.P.			
kRock Australia Holdco Pty. Ltd.			
kRock Investment Management (Australia) Limited			
kRock, Inc.			
kRock Holdco 2, Inc.			
kRock Financial Management, Inc.			
kRock International Holdings, Inc.			
Jersey International Holdings L.P.			
kRock (Singapore) Holdco Pte. Ltd.			
kRock HK Holdco Limited			
kRock Lux Finco S.a.r.l.			
kRock Japan Holdings GK			
kRock Japan Co., Ltd.			
kRock, Inc.			
Parent Merger, LLC			
kRock Investment Management,			
kRock, Inc.			

kRock Holdco 2, Inc.			
kRock Financial Management, Inc.			
kRock International Holdings, Inc.			
Jersey International Holdings L.P.			
kRock (Singapore) Holdco Pte. Ltd.			
kRock (Singapore) Limited			
kRock, Inc.			
kRock Holdco 2, Inc.			
kRock Financial Management, Inc.			
kRock International Holdings, Inc.			
Jersey International Holdings L.P.			
kRock Holdco 3, LLC			
kRock Cayman 1 LP			
kRock Cayman West Bay Finco ted			
kRock Cayman West Bay IV Limited			
kRock Group Limited			
kRock Finance Europe Limited			
kRock (Netherlands) B.V.			
kRock, Inc.			
kRock Holdco 2, Inc.			
kRock Financial Management, Inc.			

kRock International Holdings, Inc.			
Jersey International Holdings L.P.			
kRock (Singapore) Holdco Pte. Ltd.			
kRock HK Holdco Limited			
kRock Asset Management North Limited			
kRock, Inc.			
kRock Holdco 2, Inc.			
kRock Financial Management, Inc.			
kRock International Holdings, Inc.			
Jersey International Holdings L.P.			
kRock Holdco 3, LLC			
kRock Cayman 1 LP			
kRock Cayman West Bay Finco ted			
kRock Cayman West Bay IV Limited			
kRock Group Limited			
kRock Finance Europe Limited			
kRock Investment Management) Limited			
kRock Asset Management tschland AG			