FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

| Washington, | D.C. 20549 |
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

**OMB APPROVAL** 3235-0287 Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name ar PEREZ   | 2. Issuer Name and Ticker or Trading Symbol CARNIVAL PLC [ CUK ]   |         |               |  |                  |   |        |   |                              | (Che  | ck all app<br>Direc                            | ,   |   | 10% (  |   |  |  |   |  |  |
|--|--|---------|---------------|--|------------------|---|--------|---|------------------------------|---|--|---|---|--|---|--|--|---|--|--|
|  | (Fir<br>/AL CORP(<br>V 87TH AV   | ORATION | Middle)       |  |                  | te of E<br>4/201  |        | Trans                                   | saction (Month/Day/Year)     |   |  |   |   |  | below) below)  General Counsel & Secretary  |  |  |   |  |  |
| (Street) MIAMI (City)  | FL<br>(St  | ate) (2 | 33178<br>Zip) |  | 01/1             | 4. If Amendment, Date of Original Filed (Month/Day/Year) 01/16/2019 |        |   |                              |   |  |   |   |  | S. Individual or Joint/Group Filing (Check Applicable ine)  X Form filed by One Reporting Person Form filed by More than One Reporting Person |  |  |   |  |  |
| Table I - Non-Deriva  1. Title of Security (Instr. 3)  2. Transact Date (Month/Day |  |         |               |  | ion              | eemed<br>ution Date,  |        | 3.<br>Transaction<br>Code (Instr.<br>8) |                              | 4. Securities Acquired (A) Disposed Of (D) (Instr. 3, 4 |  |   | ) or  | r 5. Amount of Securities Beneficially Owned Following |   | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4)  |  | 7. Nature of Indirect Beneficial Ownership                              |  |  |
|  |  |         |               |  | Code             | v   | Amount | (A) (D)                                 | or Pr                        | ice   | Reported<br>Transaction(s)<br>(Instr. 3 and 4) |   |   |  | (Instr. 4)  |  |  |   |  |  |
| Trust Shares (beneficial Interest In Special Voting Share) <sup>(1)</sup>          |  |         |               |  | 01/14/2019       |   |        |   | A <sup>(2)</sup>             |   | 7,485(3)                                       | A   |   | \$0  | 29,004.5862   |  |  | D   |  |  |
| Trust Shares (beneficial Interest In Special Voting Share) <sup>(1)</sup>          |  |         |               |  |                  |   |        |   |                              |   |  |   |   |  | 17,914  |  |  | I   | The<br>Arnaldo<br>Perez<br>Trust<br>U/A/D<br>3/18/2014 |  |
|  | Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) |         |               |  |                  |   |        |   |                              |   |  |   |   |  |   |  |  |   |  |  |
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)                                | erivative Conversion Date Execution Date, Trans<br>ecurity or Exercise (Month/Day/Year) if any Code  |         |               |  | Transa<br>Code ( | ransaction of ode (Instr. So A)                                     |        | osed<br>)<br>:. 3, 4                    | 6. Date<br>Expirat<br>(Month | ion Da  |  | Amou<br>Secur<br>Under<br>Deriva<br>Secur | 7. Title and<br>Amount of<br>Securities<br>Underlying<br>Derivative<br>Security (Instr.<br>3 and 4) |  | . Price of<br>erivative<br>ecurity<br>nstr. 5)  | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 4) |  | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4 | Beneficial<br>Ownership<br>(Instr. 4)                  |  |
|  |  |         |               |  | Code             | v   | (A)    | (D)                                     | Date<br>Exercis              | sable   | Expiration<br>Date                             | Title                                     | Amou<br>or<br>Numb<br>of<br>Share   | er   |   |  |  |   |  |  |

## **Explanation of Responses:**

- 1. Represents trust shares (the "Trust Shares") of beneficial interests in P&O Princess Voting Trust (the "Trust"). In connection with the dual listed company transaction between Carnival plc (f/k/a P&O Princess Cruises plc) and Carnival Corporation (the "DLC Transaction"), Carnival plc issued one special voting share to the Trust and, following a series of transactions, the Trust Shares were distributed to holders of common stock of Carnival Corporation (the "Carnival Corporation Common Stock"). Since completion of the DLC Transaction on April 17, 2003, if Carnival Corporation issues Carnival Corporation Common Stock to a person, the Trust will issue an equivalent number of Trust Shares to such person. The Trust Shares are paired with shares of Carnival Corporation Common Stock and are represented by the same stock certificate. The Trust Shares represent a beneficial interest in the Carnival plc special voting share.
- 2. Grant of restricted stock units ("MTE RSUs") made pursuant to the Carnival Corporation 2011 Stock Plan. Award of MTE RSUs represent a hypothetical interest in Carnival Corporation common stock. The restriction on the MTE RSUs lapse on the second anniversary of the grant date. The MTE RSUs will accumulate dividend equivalents and may only be settled in shares
- 3. The grant was approved by the Compensation Committee as a total value to be received in the form of MTE RSUs. The Compensation Committee also approved that the number of MTE RSUs were determined by dividing the value by the closing price on date of grant.

The amendment reflects a correction in the number of shares awarded from 7.845 to 7.485, and total shares beneficially owned corrected from 29,364.5862 to 29,004.5862; a typo occurred on both entries in the FORM 4 filed January 16, 2019. This FORM 4/A is filed to fix typos and reflects the reporting person's holdings as of the date of this amendment.

> 09/01/2020 /s/ Arnaldo Perez

\*\* Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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