SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to
Section 16. Form 4 or Form 5
bligations may continue. See
nstruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

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1	ne and Address of Reporting Person [*] KINSON ROBERT H		2. Issuer Name and Ticker or Trading Symbol <u>CARNIVAL PLC</u> [CUK]		tionship of Reporting Perso : all applicable) Director	on(s) to Issuer 10% Owner		
	O CARNIVAL CORPORATION		3. Date of Earliest Transaction (Month/Day/Year) 01/08/2007		Officer (give title below)	Other (specify below)		
3655 NW 87TH AVE			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line)				
(Street)				X	Form filed by One Report	ting Person		
MIAMI	FL	33178			Form filed by More than (Person	One Reporting		
(City)	(State)	(Zip)						

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)				l (A) or . 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Trust Shares (beneficial interest in special voting share) ⁽¹⁾	01/08/2007		A ⁽²⁾		40,000	A	\$0	40,000	D	
Trust Shares (beneficial interest in special voting share) ⁽¹⁾	01/08/2007		G ⁽³⁾		40,000	D	\$0	0	D	
Trust Shares (beneficial interest in special voting share) ⁽¹⁾	01/08/2007		G		40,000	A	\$0	282,000	I	By Dickinson Enterprise Limited Partnershi
Trust Shares (beneficial interest in special voting share) ⁽¹⁾	01/08/2007		S		5,000	D	\$44.29	277,000	I	By Dickinson Enterprises Limited Partnership
Trust Shares (beneficial interest in special voting share) ⁽¹⁾	01/08/2007		S		1,000	D	\$44.34	276,000	I	By Dickinson Enterprise Limited Partnershi
Trust Shares (beneficial interest in special voting share) ⁽¹⁾	01/08/2007		S		2,000	D	\$44.5	274,000	I	By Dickinson Enterprise Limited Partnershi
Trust Shares (beneficial interest in special voting share) ⁽¹⁾	01/08/2007		S		2,000	D	\$44.64	272,000	I	By Dickinson Enterprise Limited Partnershi
Trust Shares (beneficial interest in special voting share) ⁽¹⁾	01/08/2007		S		1,500	D	\$44.72	270,500	I	By Dickinson Enterprise Limited Partnershi
Trust Shares (beneficial interest in special voting share) ⁽¹⁾	01/08/2007		S		600	D	\$44.73	269,900	I	By Dickinson Enterprise Limited Partnershi

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned											
1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership	
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		(Instr. 4)	
Trust Shares (beneficial interest in special voting share) ⁽¹⁾	01/08/2007		s		2,000	D	\$44.74	267,900	I	By Dickinson Enterprises Limited Partnership	
Trust Shares (beneficial interest in special voting share) ⁽¹⁾	01/08/2007		s		800	D	\$44.75	267,100	I	By Dickinson Enterprises Limited Partnership	
Trust Shares (beneficial interest in special voting share)	01/08/2007		s		100	D	\$44.76	267,000	I	By Dickinson Enterprises Limited Partnership	
Trust Shares (beneficial interest in special voting share)	01/08/2007		s		5,000	D	\$44.85	262,000	I	By Dickinson Enterprises Limited Partnership	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of		6. Date Exercisable and Expiration Date (Month/Day/Year)		nd 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Stock Options (Right to buy)	\$ 44.445	01/08/2007		A ⁽⁴⁾		80,000		01/08/2008 ⁽⁵⁾	01/08/2017	Trust Shares (beneficial interest in special voting share) ⁽¹⁾	80,000	\$0	80,000	D	

Explanation of Responses:

1. Represents trust shares (the "Trust Shares") of beneficial interests in P&O Princess Voting Trust (the "Trust"). In connection with the dual listed company transaction between Carnival plc (fka P&O Princess Cruises plc) and Carnival Corporation (the "DLC Transaction"), Carnival plc issued one special voting share to the Trust and, following a series of transactions, the Trust Shares were distributed to holders of common stock of Carnival Corporation (the "Carnival Corporation Common Stock"). Since completion of the DLC Transaction on April 17, 2003, if Carnival Corporation issues Carnival Corporation Common Stock". Since completion of the DLC Transaction on April 17, 2003, if Carnival Corporation issues Carnival Corporation Common Stock and are represented by the same stock certificate. The Trust Shares represent a beneficial interest in the Carnival plc special voting share.

2. Grant of restricted stock made pursuant to the Carnival Corporation Amended and Restated 2002 Stock Plan and the terms of an Executive Long-Term Compensation Agreement. The restriction on the shares lapse on the fifth anniversary of the grant date.

3. Gift of restricted shares to the Dickinson Enterprises Limited Partnership.

4. Grant of stock options pursuant to the Carnival Corporation Amended and Restated 2002 Stock Plan and the terms of an Executive Long-Term Compensation Agreement.

5. Options vest ratably over five years beginning on the first anniversary of the grant date.

Remarks:

Robert H. Dickinson

01/08/2007

Date

** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.