SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

b	OMB Number:	3235-0287
	Estimated average but	rden
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\Box	Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See	SI
	Instruction 1(b).	

TATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

			of Section So(n) of the investment Company Act of 1940						
1	ddress of Reporting	•	2. Issuer Name and Ticker or Trading Symbol CARNIVAL CORP [CCL]		5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
GLASIE	<u>R RICHARD</u>			X	Director	10% Owner			
(Last) (First) (Middle) C/O CARNIVAL CORPORATION			3. Date of Earliest Transaction (Month/Day/Year) 10/14/2020		Officer (give title below)	Other (specify below)			
3655 N.W. 87TH AVENUE			4. If Amendment, Date of Original Filed (Month/Day/Yea	r) 6. Indi Line)	6. Individual or Joint/Group Filing (Check Applicable Line)				
(Street)				X	Form filed by One Re	eporting Person			
MIAMI	FL	33178			Form filed by More th Person	nan One Reporting			
(City)	(State)	(Zip)							
		Table I - Non-De	erivative Securities Acquired, Disposed of, or	Beneficially	/ Owned				
4									

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code (8)					5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)	(1150.4)	(11311. 4)
Common Stock	10/14/2020		р		10,000	A	\$14.0497	23,792	Ι	Richard J Glasier Revocable Living Trust
Common Stock								29,843.416	D	

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

	1			-											
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A, Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of Deriv Secu Acqu (A) of Dispo of (D) (Instr	5. Number of Expiration Date Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		te Amount of ear) Securities		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

/s/ Richard Glasier

** Signature of Reporting Person Date

10/16/2020

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.