SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

	ddress of Reporting	g Person [*]	2. Issuer Name and Ticker or Trading Symbol CARNIVAL CORP [CCL]		ationship of Reporting Po k all applicable)	erson(s) to Issuer
PEREZ A	RNALDO				Director	10% Owner
,				_ x	Officer (give title below)	Other (specify below)
	(First)	(Middle) DN	3. Date of Earliest Transaction (Month/Day/Year) 01/14/2019		General Counsel	,
3655 NW 8	7TH AVE		4. If Amendment, Date of Original Filed (Month/Day/Year)	6 Indi	vidual or Joint/Group Fili	
(Ctra at)			01/16/2019	Line)		ing (Check Applicable
(Street) MIAMI	FL	33178		X	Form filed by One Re	porting Person
,	11	55170			Form filed by More th Person	an One Reporting
(City)	(State)	(Zip)				

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

										7. Nature of Indirect Beneficial Ownership (Instr. 4)
1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code (8)		4. Securities Disposed Of 5)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150.4)
Common Stock	01/14/2019		A ⁽¹⁾		7,485 ⁽²⁾	Α	\$ <mark>0</mark>	20,990.2672	D	
Common Stock								34,832	Ι	The Arnaldo Perez Trust U/A/D 3/18/2014

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

			(e.g., pl	its, ca	alis, v	varra	ants,	options, d	convertib	ie se	curities)			
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of Deriv Secu Acqu (A) o Dispe of (D	r osed) r. 3, 4	6. Date Exerc Expiration Da (Month/Day/Y	ate	7. Titl Amou Secur Unde Deriv Secur 3 and	unt of rities rlying ative rity (Instr.	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. Grant of restricted stock units ("MTE RSUs") made pursuant to the Carnival Corporation 2011 Stock Plan. Award of MTE RSUs represent a hypothetical interest in Carnival Corporation common stock. The restriction on the MTE RSUs lapse on the second anniversary of the grant date. The MTE RSUs will accumulate dividend equivalents and may only be settled in shares.

2. The grant was approved by the Compensation Committee as a total value to be received in the form of RSUs. The Compensation Committee also approved that the number of RSUs were determined by dividing the value by the closing price on date of grant.

Remarks:

The amendment reflects a correction in the number of shares awarded from 7,845 to 7,485, and total shares beneficially owned corrected from 29,364.5862 to 29,004.5862; a typo occurred on both entries in the FORM 4 filed January 16, 2019. This FORM 4/A is filed to fix typos and reflects the reporting person's holdings as of the date of this amendment.

<u>/s/</u>	Arnaldo	Perez

** Signature of Reporting Person Date

09/01/2020

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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